

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re

LEHMAN BROTHERS INC.,

Debtor.

Case No. 08-01420 (SCC) SIPA

**ORDER GRANTING THE TRUSTEE'S TWO HUNDRED  
TWENTY-FIRST OMNIBUS OBJECTION TO GENERAL CREDITOR CLAIMS  
(REDUNDANT CLAIMS)**

Upon the two hundred twenty-first omnibus objection to claims, dated March 19, 2014 (the "Two Hundred Twenty-First Omnibus Objection to General Creditor Claims") [ECF No. 8520],<sup>1</sup> of James W. Giddens (the "Trustee"), as trustee for the liquidation of Lehman Brothers Inc. ("LBI") under the Securities Investor Protection Act of 1970, as amended, 15 U.S.C. §§ 78aaa *et seq.* ("SIPA"), seeking entry of an order, pursuant to section 502(b) of title 11 of the United States Code (the "Bankruptcy Code"), as made applicable to this proceeding pursuant to SIPA §§ 78fff(b) and 78fff-1(a), and Rule 3007(d) of the Federal Rules of Bankruptcy Procedure (the "Bankruptcy Rules"), disallowing and expunging certain Redundant Claims, on the grounds that (i) such general creditor claims are exact duplicates or are in substance duplicates of the corresponding Duplicative Surviving Claims, (ii) such general creditor claims have been amended and superseded by the corresponding Amending Surviving Claim, or (iii) determined the transactions and amounts claimed in the Multiple Filer Duplicate Claims are in substance duplicates of or fully claimed within the corresponding Surviving Claims, as more fully described in the Two Hundred Twenty-First Omnibus Objection to

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1. Capitalized terms not otherwise defined herein shall have the meaning ascribed to them in the Objection.

General Creditor Claims; and due and proper notice of the Two Hundred Twenty-First Omnibus Objection to General Creditor Claims having been provided, and it appearing that no other or further notice need be provided; and the Court having found and determined that the relief sought in the Two Hundred Twenty-First Omnibus Objection to General Creditor Claims is in the best interests of LBI, its estate, its customers and creditors, and all parties in interest and that the legal and factual bases set forth in the Two Hundred Twenty-First Omnibus Objection to General Creditor Claims establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefor, it is

**ORDERED** that the relief requested in the Two Hundred Twenty-First Omnibus Objection to General Creditor Claims is granted; and it is further

**ORDERED** that, pursuant to section 502(b) of the Bankruptcy Code, the claims listed on Exhibit 1, Exhibit 2, and Exhibit 3 (collectively, the “Exhibits”) under the heading “*Claims to be Disallowed and Expunged*” are disallowed and expunged in their entirety with prejudice as set forth in the Exhibits; and it is further

**ORDERED** that the claims listed on the Exhibits annexed hereto under the heading “*Surviving Claims*” (collectively, the “Surviving Claims”) will remain on the LBI general claims register subject to the Trustee’s right to further object as set forth herein; and it is further

**ORDERED** that all information included on and all documentation filed in support of any Redundant Claim shall be treated as having been filed in support of and included in the corresponding Surviving Claim; and it is further

**ORDERED** that notwithstanding any other provision of this Order, a Surviving Claim and all documentation previously filed in support of the Surviving Claim shall be deemed

timely filed to the extent it appropriately amended and superseded, directly or indirectly, a claim that had been timely filed; and it is further

**ORDERED** that this Order supersedes all previous orders regarding the disposition of the Claims listed on the Exhibits annexed hereto; and it is further

**ORDERED** that nothing in this Order or the disallowance and expungement of the Claims constitutes any admission or finding with respect to any of the Surviving Claims, and the Trustee's rights to object to the Surviving Claims on any basis is preserved; and it is further

**ORDERED** that the Trustee has withdrawn without prejudice his objection with respect to the claims listed on Exhibit 4 annexed hereto; and it is further

**ORDERED** that the Trustee has adjourned the hearing regarding his Two Hundred Twenty-First Omnibus Objection to General Creditor Claims, solely with respect to the claim listed on Exhibit 5 annexed hereto, to May 14, 2014 at 10:00 a.m. (Prevailing Eastern Time) (the "Hearing"); and it is further

**ORDERED** that this Order has no res judicata, estoppel, or other effect on the validity, allowance, or disallowance of, and all rights to object and defend on any basis are expressly reserved with respect to any claim listed on Exhibit A, Exhibit B, and Exhibit C annexed to the Two Hundred Twenty-First Omnibus Objection to General Creditor Claims that is not listed on Exhibit 1, Exhibit 2, and Exhibit 3 annexed hereto; and it is further

**ORDERED** that this Court shall retain jurisdiction to hear and determine all matters arising from or related to the implementation and interpretation of this Order.

Dated: April 29, 2014  
New York, New York

*/s/ Shelley C. Chapman*  
HONORABLE SHELLEY C. CHAPMAN  
UNITED STATES BANKRUPTCY JUDGE

**EXHIBIT 1**

**TWO HUNDRED TWENTY-FIRST OMNIBUS OBJECTION: EXHIBIT 1- DUPLICATIVE CLAIMS**

CLAIMS TO BE DISALLOWED AND EXPUNGED					SURVIVING CLAIMS			
	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
1	AMTRUST BANK FORMERLY KNOWN AS OHIO SAVINGS BANK C/O KIMON ZAVERDINOS 1801 EAST NINTH STREET SUITE 200 CLEVELAND, OH 44114-3103	1/26/2009	1539	\$101,550.00	AMTRUST BANK C/O KIMON ZAVERDINOS ATTN: TREASURY 1801 EAST NINTH STREET SUITE 200 CLEVELAND, OH 44114-3103	1/26/2009	900001830**	\$101,550.00
2	ARMY EMERGENCY RELIEF C/O WESTERN ASSET MANAGEMENT COMPANY ATTN: LEGAL DEPT W-2036 385 E. COLORADO BLVD PASADENA, CA 91101	1/30/2009	2448	\$78,930.00	ARMY EMERGENCY RELIEF WESTERN ASSET MANAGEMENT COMPANY PATRICK HERRINGTON 50 S LASALLE ST CHICAGO, IL 60603	2/2/2009	9006055	UNSPECIFIED*
3	BMO NESBITT BURNS INC. DAVID FERGUSON C/O HARRIS N.A. 111 WEST MONROE STREET CHICAGO, IL 60611	1/30/2009	8003274	UNSPECIFIED*	BMO NESBITT BURNS, INC. JAMES E. SPIOTTO CHAPMAN AND CUTLER LLP 111 WEST MONROE STREET CHICAGO, IL 60603-4080	5/29/2009	5131	\$80,894.40
4	COMMINGLED PENSION TRUST FUND SUBADVISED FIXED INCOME-W SCOTT E. RICHTER, ESQ. & STACEY L. ANGEL C/O J.P. MORGAN INVESTMENT MANAGEMENT INC. 1111 POLARIS PARKWAY, FLOOR 4P COLUMBUS, OH 43240-2050	1/29/2009	9003352	UNSPECIFIED*	JPMORGAN ASSET MANAGEMENT TRANSFEROR: LEHMAN BROTHERS HOLDINGS INC. C/O LEGAL DEPT FLOOR 9 - ATTN WAYNE H CHAN 270 PARK AVE NEW YORK, NY 10017	5/15/2009	4529	\$16,796.87

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
5	CRUCIBLE MATERIALS-HOURLY PETER TROJANO C/O STANDISH ASSET MANAGEMENT CO LLC 201 WASHINGTON ST BOSTON, MA 02108	1/30/2009	9005006	UNSPECIFIED*	CRUCIBLE MATERIALS-HOURLY C/O STANDISH ASSET MANAGEMENT CO. LLC BNY MELLON CENTER 201 WASHINGTON STREET BOSTON, MA 02108	1/30/2009	2982	\$89,038.06
6	EDWARD GRECO QTIP TRUST JACQUE BUCLOT TTEE FBO EDWARD GRECO QUALIFIED TERM INTEREST IN PROPERTY TRUST UWO 5/18/1995 15 STERLING STREET BEACON, NY 12508-1439	12/11/2008	109	\$200.00	EDWARD GRECO QUALIFIED TERM INTEREST IN PTY TR UWO 5/18/1995 15 STERLING STREET BEACON, NY 12508-1439	12/11/2008	900000101**	\$200.00
7	GRACE BROTHERS LTD 1560 SHERMAN AVE STE 900 EVANSTON, IL 60201-4809	1/23/2009	1316	UNSPECIFIED*	GRACE BROTHERS LTD CHARLENE O'CONNOR 1560 SHERMAN AVE STE 900 EVANSTON, IL 60201-4809	1/23/2009	900001695**	\$5,531.38
8	ING LIFE INSURANCE AND ANNUITY COMPANY GIL MATHIS ONE ORANGE WAY WINDSOR, CT 06095-4774	9/26/2008	9008027	UNSPECIFIED*	ING LIFE INSURANCE & ANNUITY CO. C/O ING INVESTMENT MANAGEMENT 230 PARK AVENUE NEW YORK, NY 10169-0005	1/28/2009	8002336	UNSPECIFIED*
9	IRVING S GILMORE FOUNDATION ADAM SHAPIRO C/O STONE HARBOR INVESTMENT PARTNERS 31 W 52ND STR SUITE 900 NEW YORK, NY 10019	1/28/2009	9002274	UNSPECIFIED*	IRVING S. GILMORE FOUNDATION ATTN: ADAM SHAPIRO STONE HARBOR INVESTMENT PARTNERS, LP 31 WEST 52ND STREET NEW YORK, NY 10019	1/28/2009	1850	\$6,937.50

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as "unknown", "\$0.00\*", "unascertainable", "undetermined", or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
<b>10</b>	KUWAIT INVESTMENT AUTHORITY ADEL N HAMADAH MINISTRIES COMPLEX, BLOCK 3 GROUND FLOOR, P.O. BOX 64 SAFAT 13001 KUWAIT	1/27/2009	9002185	UNSPECIFIED*	KUWAIT INVESTMENT AUTHORITY CITIBANK, N.A. (FUND 123) CITIGROUP CENTRE CANADA SQUARE CANARY WHARF LONDON E14 5LB UNITED KINGDOM	5/19/2009	4656	\$43,976.57
<b>11</b>	LILY POND CURRENCY MASTER FUND LTD GLEN C CARNES RE LILY POND CAPITAL MGMT LLC 450 PARK AVENUE SUITE 2103 NEW YORK, NY 10022-2676	1/9/2009	7000284	\$2,010.91	LILY POND CURRENCY MASTER FUND LTD GLEN C CARNES RE LILY POND CAPITAL MGMT LLC 450 PARK AVENUE SUITE 2103 NEW YORK, NY 10022-2676	1/9/2009	800000843**	\$2,010.91
<b>12</b>	LILY POND CURRENCY PLUS MASTER FUND LTD GLEN CARNES C/O LILY POND CAPITAL MANAGEMENT LLC 450 PARK AVENUE, SUITE 2103 NEW YORK, NY 10022	1/9/2009	7000292	\$18,197.37	LILY POND CURRENCY PLUS MASTER FUND LTD GLEN CARNES C/O LILY POND CAPITAL MANAGEMENT LLC 450 PARK AVENUE, SUITE 2103 NEW YORK, NY 10022	1/9/2009	800000847**	\$18,197.37
<b>13</b>	LM US BOND CORE PLUS MOTHER FUND C/O JAPAN TRUSTEE SERVICES BANK, LTD. AS TRUSTEE ATTN: INVESTMENT TRUST DEPARTMENT 8-11, HARUMI 1-CHOME, CHUO-KU TOKYO JAPAN	1/28/2009	2006	\$208,948.00	LM US BOND CORE PLUS MOTHER FUND C/O WESTERN ASSET MANAGEMENT COMPANY ATTN: LEGAL DEPT. W-1972 385 E. COLORADO BLVD. PASADENA, CA 91101	1/30/2009	2540	\$208,948.00

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
14	MERABET, OLIVER ROUTE DE LANVOLLEN LE GOAS-MEUR PLOUHA 22580 FRANCE	5/27/2009	4811	\$402.65	MERABET, OLIVER ROUTE DE LANVOLLEN LE GOAS-MEUR PLOUHA 22580 FRANCE	5/27/2009	900007535**	\$402.65
15	PEWZ S.A. RUTA 8 KM 17500 EDIFICIO 121A OF 004 MONTEVIDEO CP 96000 URUGUAY	1/14/2009	1000	\$20,544.76	PELUZ S.A. C/O CAROLINA CIKUREL RUTA 8 KM 17500 ZONA AMERICA EDIFICIO 121A OF 004 MONTEVIDEO CP 96000 URUGUAY	1/14/2009	900001177**	\$14,202,110.00
16	SEI INSTITUTIONAL MANAGED TRUST-CORE FIXED INCOME FUND METROLOLITAN WEST ASSET MGMT 11766 WILSHIRE BLVD STE 1580 LOS ANGELES, CA 90025-6576	1/29/2009	2072	\$206,953.13	SEI INSTITUTIONAL MANAGED TRUST METWEST 761 SEI INSTITUTIONAL MANAGED TRST METROPOLITAN WEST ASSET MANAGEMENT 11766 WILSHIRE BLVD STE 1580 LOS ANGELES, CA 90025- 6576	9/17/2009	6138	\$206,953.13
17	SEI INSTITUTIONAL MANAGED TRUST-CORE FIXED INCOME FUND (MW # 761) METROPOLITAN WEST ASSET MANAGEMENT, LLC 11766 WILSHIRE BLVD., SUITE 1500 LOS ANGELES, CA 90025	9/17/2009	6137	\$206,953.13	SEI INSTITUTIONAL MANAGED TRUST METWEST 761 SEI INSTITUTIONAL MANAGED TRST METROPOLITAN WEST ASSET MANAGEMENT 11766 WILSHIRE BLVD STE 1580 LOS ANGELES, CA 90025- 6576	9/17/2009	6138	\$206,953.13

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim



	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
18	SNYDER, BRIAN 40 EAST 83RD STREET #38 NEW YORK, NY 10028-0843	1/28/2009	1921	\$46,401.36	SNYDER, BRIAN 40 EAST 83RD STREET #38 NEW YORK, NY 10028-0843	1/28/2009	900002514**	\$32,076.15
19	STERLING CAPITAL MANAGEMENT LLC ON BEHALF OF ITS DISCRETIONARY ADVISORY CLIENT UNION REGIONAL MEDICAL CENTER DEFINED PENSION PLAN ATTN KENNETH R. COTNER, EXECUTIVE DIRECTOR 115 OFFICE PARK DRIVE MOUNTAIN BROOK, AL 35223- 2421	10/7/2008	9008081	UNSPECIFIED*	STERLING CAPITAL MANAGEMENT LLC KENNETH R COTNER 115 OFFICE PARK DRIVE MOUNTAIN BROOK, AL 35223-2421	10/7/2008	9008104	\$1,995.70
20	TEACHERS RETIREMNT SYS TX CAROL ELLIS 1000 RED RIVER STREET AUSTIN, TX 78701-2627	1/30/2009	9004548	UNSPECIFIED*	TEACHERS RETIREMENT SYSTEM OF TEXAS 1000 RED RIVER STREET AUSTIN, TX 78701-2627	1/30/2009	2895	\$80,971.03
21	THE WALT DISNEY RETIREMENT PLAN MASTER TRUST ATTN: IRENA GOLDSTEIN C/O PROSKAUER ROSE LLP ELEVEN TIMES SQUARE NEW YORK, NY 10036-8299	5/27/2009	7002063	\$266,344.00	WALT DISNEY RETIREMENT PLAN MASTER TRUST, THE C/O THE WALT DISNEY COMPANY ATTN: MITCHELL K POLON 500 SOUTH BUENA VISTA STREET BURBANK, CA 91521-0050	6/2/2009	5936	\$266,344.00

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\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
22	TOMICKI, BERNARD J & HELEN G TTEES FBO BERNARD J & HELEN G TOMICKI REV LIV TRUST U/A/D 11/18/98 2505 NATURE BEND CARROLLTON, TX 75006-2004	12/12/2008	128	\$1,148.07	TOMICKI, BERNARD J & HELEN G TTEES FBO BERNARD J & HELEN G TOMICKI REV LIV TRUST U/A/D 11/18/98 2505 NATURE BEND CARROLLTON, TX 75006-2004	12/12/2008	900000121**	\$1,148.07
23	VONWIN CAPITAL MANAGEMENT, LP TRANSFEROR: MUNICIPAL SECURITIES RULEMAKING ROGER VON SPIEGEL, MANAGING DIRECTOR 261 FIFTH AVENUE, 22ND FLOOR NEW YORK, NY 10016	1/28/2009	9002393	UNSPECIFIED*	VONWIN CAPITAL MANAGEMENT, L.P. TRANSFEROR: MUNICIPAL SECURITIES RULEMAKING VONWIN CAPITAL, LLC ATTN: ROGER VON SPIEGEL 261 FIFTH AVENUE, 22ND FLOOR NEW YORK, NY 10016	1/28/2009	1919	\$192,056.08

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

**EXHIBIT 2**

## Two HUNDRED TWENTY-FIRST OMNIBUS OBJECTION: EXHIBIT 2 - AMENDED AND SUPERSEDED CLAIMS

	CLAIMS TO BE DISALLOWED AND EXPUNGED				SURVIVING CLAIMS			
	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
1	BEAFORD INVESTMENTS LIMITED LEATHERA S. MCCARTNEY, VP JP MORGAN TRUST CO (BAHAMAS) LIMITED PO BOX N - 4899, 2ND FLOOR, BAHAMAS FINANCIAL CENTRE SHIRLEY & CHARLOTTE STS. NASSAU BAHAMAS	12/8/2008	8000122	UNSPECIFIED*	BEAFORD INVESTMENTS LIMITED ATTN: ANGELA WATSON J.P. MORGAN TRUST COMPANY (BAHAMAS) LIMITED BAHAMAS FINANCIAL CENTER, 2ND FLOOR SHIRLEY & CHARLOTTE STREETS PO BOX N-4899 NASSAU BAHAMAS	7/23/2009	6083	\$9,578,839.00
2	BEIJING FOREIGN ENTERPRISE HUMAN RESOURCES SERVICE CO., LTD. MS. ZHANG XIAOWEN, UNIT 2508, LEVEL 25 CHINA WORLD TOWER 1 NO. 1 JIAN GUO MEN WAI AVENUE BEIJING 100004 CHINA, P.R.C.	12/30/2008	581	\$3,293.55	BEIJING FOREIGN ENTERPRISE HUMAN RESOURCES SERVICE CO., LTD. MS. ZHANG XIAOWEN, UNIT 2508, LEVEL 25 CHINA WORLD TOWER 1 NO. 1 JIAN GUO MEN WAI AVENUE BEIJING 100004 CHINA	6/1/2009	5619	\$3,293.55
3	BOEING COMPANY VOLUNTARY INVESTMENT PLAN, THE C/O WESTERN ASSET MANAGEMENT COMPANY ATTN: LEGAL DEPT. W-1655 385 E COLORADO BLVD PASADENA, CA 91101	1/30/2009	2971	\$3,200,788.00	BOEING COMPANY VOLUNTARY INVESTMENT PLAN WESTERN ASSET MANAGEMENT COMPANY 100 N RIVERSIDE PLAZA CHICAGO, IL 60606	2/2/2009	9005942	UNSPECIFIED*

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\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
4	BROWN, MELVILLE JR. 9516 FAIRCREST DRIVE DALLAS, TX 75238	5/26/2009	7002026	\$89,088.07	BROWN, MELVILLE M., JR 9516 FAIRCREST DR. DALLAS, TX 75238-1416	1/30/2009	3104	\$372,372.99
5	BROWN, MELVILLE 9516 FAIRCREST DRIVE DALLAS, TX 75238	1/30/2009	9005575	UNSPECIFIED*	BROWN, MELVILLE M., JR 9516 FAIRCREST DR. DALLAS, TX 75238-1416	1/30/2009	3104	\$372,372.99
6	CHAUNY SA ATTN:SEBASTIAN FERULLO JUNCAL 1327 ESC 303 MONTEVIDEO URUGUAY	5/26/2009	9007750	UNSPECIFIED*	ATTESTOR CAPITAL, LLP FOR AND ON BEHALF OF ATTESTOR VALUE MASTER FUND TRANSFEROR: CHAUNY S.A. C/O ATTESTOR CAPITAL LLP ATTN: ISOBELLE WHITE 21 UPPER BROOK STREET LONDON W1K 7PY UNITED KINGDOM	5/26/2009	5124	\$3,574,050.24
7	COMMINGLED PENSION TRUST FUND OF JPMORGAN CHASE BANK NA WAYNE H CHAN ESQ 245 PARK AVE NEW YORK, NY 10167	1/28/2009	9002444	UNSPECIFIED*	JPMORGAN ASSET MANAGEMENT TRANSFEROR: LEHMAN BROTHERS HOLDINGS INC. J.P. MORGAN INVESTMENT MANAGEMENT INC. C/O LEGAL DEPT FLOOR 9 ATTN WAYNE H CHAN 270 PARK AVENUE NEW YORK, NY 10017	5/15/2009	4527	\$4,238.28
8	DNB NOR MARKETS INC MARCUS WENDEHOG VP AND ASSISTANT COUNSEL 200 PARK AVENUE 31ST FLOOR NEW YORK, NY 10166	1/26/2009	9001916	UNSPECIFIED*	DNB NOR MARKETS, INC. DNB NOR BANK ASA ATTN: MARCUS WENDEHOG, ESQ. 200 PARK AVENUE 31ST FLOOR NEW YORK, NY 10166-0396	5/7/2009	4515	\$402,417.00

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\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
9	EISENBERG, IRWIN M. 11 HICKORY COURT STATEN ISLAND, NY 10309	1/30/2009	8003077	UNSPECIFIED*	EISENBERG, IRWIN 11 HICKORY CT STATEN ISLAND, NY 10309	5/28/2009	5292	\$254,951.00
10	GOLDMAN SACHS & CO., ET AL. ATTN: JANET A. BROECKEL, MANAGING DIRECTOR/ASSOCIATE GENERAL COUNSEL ONE NEW YORK PLAZA NEW YORK, NY 10004	5/30/2009	5524	\$9,683.89	GOLDMAN SACHS & CO. (AS CO-LEAD UNDERWRITER) JANET A. BROECKEL MANAGING DIRECTOR/ASSOCIATE GENERAL COUNSEL ONE NEW YORK PLAZA NEW YORK, NY 10004	8/3/2011	6255	\$98,383.64
11	GOLDMAN SACHS & CO. JANET A BROECKEL MANAGING DIRECTOR/ASSOCIATE GENL GOLDMAN SACHS & CO. ONE NEW YORK PLAZA NEW YORK, NY 10004	5/29/2009	7002288	\$9,683.89	GOLDMAN SACHS & CO. (AS CO-LEAD UNDERWRITER) JANET A. BROECKEL MANAGING DIRECTOR/ASSOCIATE GENERAL COUNSEL ONE NEW YORK PLAZA NEW YORK, NY 10004	8/3/2011	6255	\$98,383.64
12	GOLDMAN SACHS LENDING PARTNERS LLC TRANSFEROR: LAWSON SOFTWARE INC C/O GOLDMAN, SACHS & CO. 30 HUDSON STREET, 38TH FLOOR JERSEY CITY, NJ 07302	12/5/2008	8000088	UNSPECIFIED*	GOLDMAN SACHS LENDING PARTNERS LLC TRANSFEROR: LAWSON SOFTWARE INC C/O GOLDMAN, SACHS & CO. 30 HUDSON STREET, 38TH FLOOR JERSEY CITY, NJ 07302	1/13/2009	8001044	UNSPECIFIED*

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\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
13	HALCYON LOAN TRADING FUND LLC TRANSFEROR: CARRETT P.T. LP2 C/O HALCYON ASSET MANAGEMENT LP ATTN: MATT SELTZER 477 MADISON AVENUE - 8TH FLOOR NEW YORK, NY 10022	1/23/2009	1499	\$6,895,903.75	HALCYON LOAN TRADING FUND LLC MATT SELTZER C/O HALCYON ASSET MANAGEMENT LP 477 MADISON AVE 8TH FL NEW YORK, NY 10022	1/23/2009	9001758	\$4,710,871.73
14	HIFFMEISTER, PERRY C. & HILARY 12 BRADBURY PLACE RIVERSIDE, CT 06878	1/26/2009	1633	UNSPECIFIED*	HOFFMEISTER, PERRY COLTER 12 BRADBURY PLACE RIVERSIDE, CT 06878	5/28/2009	7002117	UNSPECIFIED*
15	HORNE, CATHY 3510 GARDEN MIST CIR AUBURN, GA 30011	1/28/2009	9002255	UNSPECIFIED*	HORNE, CATHY 3510 GARDEN MIST CIRCLE AUBURN, GA 30011	1/30/2009	3227	\$14,269.22
16	HU, ISABELLE 608 BRIDLE PATH WYCKOFF, NJ 07481-2931	4/24/2009	4370	\$179,954.97	HU, ISABELLE 608 BRIDLE PATH WYCKOFF, NJ 07481	5/1/2009	7001397	\$179,954.97
17	JP MORGAN DIVERSIFIED FUND A SERIES OF JP MORGAN TRUST I WAYNE H CHAN ESQ 245 PARK AVE NEW YORK, NY 10167	1/28/2009	9002533	UNSPECIFIED*	JPMORGAN ASSET MANAGEMENT TRANSFEROR: LEHMAN BROTHERS HOLDINGS INC. C/O LEGAL DEPT ATTN WAYNE H CHAN 270 PARK AVE, 9TH FL NEW YORK, NY 10017-2014	5/15/2009	4528	\$6,895.46

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
18	METROPOLITAN LIFE INSURANCE COMPANY DANIELLE K AZUA 245 PARK AVE NEW YORK, NY 10167	1/29/2009	9003415	UNSPECIFIED*	METROPOLITAN LIFE INSURANCE COMPANY ATTN: WAYNE H. CHAN J.P. MORGAN INVESTMENT MANAGEMENT INC. C/O LEGAL DEPT, FLOOR 8 245 PARK AVENUE NEW YORK, NY 10167-0001	5/27/2009	4778	\$96,315.61
19	PARKER, DEBORAH A. 1902 LYNTON CIRCLE WELLINGTON, FL 33414	1/23/2009	1338	\$36,603.00	PARKER, DEBORAH A. 1902 LYNTON CIRCLE WELLINGTON, FL 33414	12/17/2013	6391	\$36,603.00
20	PETERS, STEPHEN P.O. BOX 973 3 BISHOP ESTATE LENOX, MA 01240	12/26/2008	7000205	\$271,950.22	PETERS, STEPHEN PO BOX 973 LENOX, MA 01240	9/14/2009	6112	\$264,029.00
21	PIA FRIIS ABN AMRO BANK N.V. C/O RBS SECURITIES INC. 600 STEAMBOAT ROAD GREENWICH, CT 06830	5/26/2009	7002000	UNSPECIFIED*	ABN AMRO BANK N.V. C/O RBS SECURITIES INC. ATTN: PIA FRIIS, MANAGING DIRECTOR AND SENIOR COUNSEL 600 STEAMBOAD ROAD GREENWICH, CT 06830	6/2/2009	5931	UNSPECIFIED*
22	PRINCIPAL FUNDS INC HIGH QUALITY INTERMEDIATE TERM BOND FUND DEBRA EPP, COUNSEL 711 HIGH STREET [REDACTED] DES MOINES, IA 50392-0301	1/29/2009	9003337	UNSPECIFIED*	PRINCIPAL FUNDS, INC. HIGH QUALITY INTERMEDIATE- TERM BOND FUND ATTN: DEBRA EPP, COUNSEL 711 HIGH STREET [REDACTED] DES MOINES, IA 50392-0301	1/29/2009	2040	\$3,626.57

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim



	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
23	PRINCIPAL FUNDS INC PRINCIPAL FINANCIAL GROUP DEBRA EPP, COUNSEL 711 HIGH STREET [REDACTED] DES MOINES, IA 50392-0001	1/29/2009	9003339	UNSPECIFIED*	PRINCIPAL FUNDS, INC. BOND & MORTGAGE SECURITIES FUND 801 GRAND AVENUE DES MOINES, IA 50392-0001	1/29/2009	2041	\$107,722.66
24	PRINCIPAL MANAGEMENT CORPORATION PF HQ INTERMEDIATE TERM BOND FUND DEBRA SVOBODA PRINCIPAL GLOBAL INVESTORS, LLC 801 GRAND AVENUE DES MOINES, IA 50392-0490	9/26/2008	9008028	UNSPECIFIED*	PRINCIPAL FUNDS, INC. HIGH QUALITY INTERMEDIATE- TERM BOND FUND ATTN: DEBRA EPP, COUNSEL 711 HIGH STREET [REDACTED] DES MOINES, IA 50392-0301	1/29/2009	2040	\$3,626.57
25	PRINCIPAL MANAGEMENT CORPORATION-PVC-BOND & MORTGAGE SECURITIES C/O DEBRA SVOBODA PRINCIPAL GLOBAL INVESTORS, LLC 801 GRAND AVENUE DES MOINES, IA 50392-0490	9/26/2008	9008035	UNSPECIFIED*	PRINCIPAL VARIABLE CONTRACTS FUND, INC. BOND & MORTGAGES SECURITIES ACCT. C/O DEBRA EPP, COUNSEL 711 HIGH STREET [REDACTED] DES MOINES, IA 50392-0301	1/29/2009	2039	\$806.25
26	PRINCIPAL VARIABLE CONTRACTS FUND INC PRINCIPAL FINANCIAL GROUP DEBRA EPP, COUNSEL 711 HIGH STREET [REDACTED] DES MOINES, IA 50392-0001	1/29/2009	9003335	UNSPECIFIED*	PRINCIPAL VARIABLE CONTRACTS FUND, INC. BOND & MORTGAGES SECURITIES ACCT. C/O DEBRA EPP, COUNSEL 711 HIGH STREET [REDACTED] DES MOINES, IA 50392-0301	1/29/2009	2039	\$806.25

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
27	PROSHARES ULTRA RUSSELL2000 GROWTH PRO FUNDS ADVISORS LLC ATTN: AMY DOBERMAN, ESQ. 7501 WISCONSIN AVENUE, SUITE 1000 BETHESDA, MD 20814	1/7/2011	6235	\$3,780.42	PROSHARES ULTRA RUSSELL2000 GROWTH C/O PRO FUNDS ADVISORS LLC ATTN: AMY DOBERMAN, ESQ. 7501 WISCONSIN AVENUE, SUITE 1000 BETHESDA, MD 20814	11/11/2013	6384	\$2,814.84
28	PROSHARES ULTRA RUSSELL2000 PRO FUNDS ADVISORS LLC ATTN: AMY DOBERMAN, ESQ. 7501 WISCONSIN AVENUE, SUITE 1000 BETHESDA, MD 20814	1/7/2011	6234	\$17,574.45	PROSHARES ULTRA RUSSELL2000 C/O PRO FUNDS ADVISORS LLC ATTN: AMY DOBERMAN, ESQ. 7501 WISCONSIN AVENUE, SUITE 1000 BETHESDA, MD 20814	11/11/2013	6383	\$13,074.61
29	RELIASTAR LIFE INSURANCE COMPANY C/O ING INVESTMENT MANAGEMENT 230 PARK AVENUE NEW YORK, NY 10169-0005	1/28/2009	8002329	UNSPECIFIED*	RELIASTAR LIFE INSURANCE COMPANY GIL MATHIS 20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401	9/26/2008	9008026	\$328,125.00
30	ROGGE- FOND VANT C/O ROGGE GLOBAL PARTNERS PLC SION HALL, 56 VICTORIA EMBANKMENT 56 VICTORIA EMBANKMENT LONDON EC4Y 0DZ UNITED KINGDOM	1/13/2009	8000964	UNSPECIFIED*	INKA FONDS VANT - RGP LEGAL DEPARTMENT/MARC DIEDENHOFEN INTERNATIONALE KAPITALANLAGEGESELLSCH AFT MBH YORCKSTRABE 21 DUESSELDORF 40476 GERMANY	1/28/2009	8001780	UNSPECIFIED*

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
31	SECURITY LIFE OF DENVER INSURANCE COMPANY C/O ING INVESTMENT MANAGEMENT 230 PARK AVENUE NEW YORK, NY 10169-0005	1/28/2009	8002319	UNSPECIFIED*	SECURITY LIFE OF DENVER INSURANCE CO GIL MATHIS 1290 BROADWAY DENVER, CO 80203	9/26/2008	9008025	\$546,875.00
32	STANDARD & POORS CORP. ATTN: LOUIS SLANINA 55 WATER STREET, 46TH FLOOR NEW YORK, NY 10041	8/18/2010	6229	\$209,624.00	STANDARD & POORS ATTN:MICHAEL J. TWAMLEY 55 WATER STREET, 35TH FLOOR NEW YORK, NY 10041	9/22/2011	6260	\$209,624.00
33	STANDARD & POOR'S 55 WATER STREET ATTN: LOUIS SLANINA NEW YORK, NY 10041	6/1/2009	5887	\$2,174,812.00	STANDARD & POORS ATTN:MICHAEL J. TWAMLEY 55 WATER STREET, 35TH FLOOR NEW YORK, NY 10041	9/22/2011	6260	\$209,624.00
34	THE ROYAL BANK OF SCOTLAND PLC ATTN: MARK ROSE GREENWICH CAPITAL MARKETS INC 600 STEAMBOAT ROAD GREENWICH, CT 06830	9/29/2008	9008160	UNSPECIFIED*	ROYAL BANK OF SCOTLAND, PLC, THE RBS GLOBAL BANKING & MARKETS, C/O RBS SECURITIES, INC. ATTN: PIA FRIIS, MANAGING DIRECTOR AND SENIOR COUNSEL 600 STEAMBOAT ROAD, GREENWICH, CT 06830	6/2/2009	5926	\$40,023,040.00
35	THEODORE, FRANTZ C/O GAIL M WALTON, ESQ LAW OFFICES OF GAIL M WALTON 690 MACE AVENUE BRONX, NY 10467	1/26/2009	9002011	UNSPECIFIED*	THEODORE, FRANTZ 47 JASPER STREET VALLEY STREAM, NY 11580	1/26/2009	1661	\$96,260.36

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\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
36	VASHISHT, VISHAL 90 FARBROOK DRIVE SHORT HILLS, NJ 07078	1/27/2009	8001700	UNSPECIFIED*	TANNOR PARTNERS CREDIT FUND, LP TRANSFEROR: VASHISHT, VISHAL ATTN: ROBERT TANNOR 150 GRAND STREET, STE 401 WHITE PLAINS, NY 10601	2/5/2009	3468	\$175,000.00
37	WESTERN ASSET MANAGEMENT COMPANY ATTN: W STEPHEN VENABLE JR 620 8TH AVENUE, 50TH FLOOR NEW YORK, NY 10018	10/29/2008	9008098	UNSPECIFIED*	UNITED FOOD & COMMERCIAL WORKERS INTERNATIONAL UNION - INDUSTRIAL PENSION FUND C/O WESTERN ASSET MANAGEMENT COMPANY ATTN: LEGAL DEPT. W-1133 & W-1352 385 E. COLORADO BLVD. PASADENA, CA 91101	1/30/2009	2650	\$292,947.00

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

**EXHIBIT 3**

## Two HUNDRED TWENTY-FIRST OMNIBUS OBJECTION: EXHIBIT 3 – MULTIPLE FILER DUPLICATE CLAIMS

CLAIMS TO BE DISALLOWED AND EXPUNGED					SURVIVING CLAIMS			
	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
1	AFEFT CORE FULL WESTERN ASSET MANAGEMENT COMPANY PATRICK HERRINGTON 50 S LASALLE ST CHICAGO, IL 60603	2/2/2009	9006052	UNSPECIFIED*	NTCC ADVISORS FUNDS FOR EMPLOYEE BENEFITS TRUSTS C/O WESTERN ASSET MANAGEMENT COMPANY ATTN: LEGAL DEPT. W-1687 385 E. COLORADO BLVD. PASADENA, CA 91101	1/30/2009	2775	\$312,387.00
2	AFGT CORE FULL WESTERN ASSET MANAGEMENT COMPANY PATRICK HERRINGTON 50 S LASALLE ST CHICAGO, IL 60603	2/2/2009	9006053	UNSPECIFIED*	NTCC ADVISORS FUNDS FOR GRANTOR TRUSTS C/O WESTERN ASSET MANAGEMENT COMPANY ATT: LEGAL DEPT. W-1688 385 E. COLORADO BLVD. PASADENA, CA 91109	1/30/2009	2844	\$51,659.00
3	BOOTH FERRIS FDTN FIXED INC A/C LESLEY GOLDBERG 345 PARK AVE NEW YORK, NY 10167	1/28/2009	9002263	UNSPECIFIED*	JPMORGAN CHASE BANK, N.A., AS AGENT FBO BOOTH FERRIS FDTN FIXED INC AC PRIVATE BANK LEGAL - LESLEY E. GOLDBERG, ESQ. 345 PARK AVENUE, 5TH FLOOR NEW YORK, NY 10154	5/28/2009	4843	\$71,162.89

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
4	BROWN BROTHERS HARRIMAN TRUST COMPANY (CAYMAN) LTD, AS TRUSTEE FOR THE LINCOLN FUND, ATTN: LEGAL DEPT W-2390 C/O WESTERN ASSET MANAGEMENT COMPANY 385 E. COLORADO BLVD. PASADENA, CA 91101	1/30/2009	2505	\$52,430.00	MORGAN STANLEY SENIOR FUNDING, INC. TRANSFEROR: WESTERN ASSET MANAGEMENT COMPANY 1585 BROADWAY 2ND FLOOR NEW YORK, NY 10036	9/26/2008	9008059-01	UNSPECIFIED*
5	CENTRAL STATES SE & SW AREAS PENSION FUND WESTERN ASSET MGMT PATRICK HERRINGTON 50 S LASALLE ST CHICAGO, IL 60603	2/2/2009	9006051	UNSPECIFIED*	CENTRAL STATES SOUTHWEST & SOUTHWEST AREAS PENSION FUND C/O WESTERN ASSET MANAGEMENT COMPANY ATTN: LEGAL DEPT. W-1861 385 E. COLORADO BLVD. PASADENA, CA 91101	1/30/2009	2680	\$670,695.00
6	CENTURY AFFILIATED HOLDINGS LLC LESLEY E GOLDBERG 345 PARK AVE NEW YORK, NY 10154	1/28/2009	9002264	UNSPECIFIED*	JPMORGAN CHASE BANK, NA, AS AGENT FBO CENTURY AFFILIATED HOLDINGS LLC PRIVATE BANK LEGAL - LESLEY E. GOLDBERG, ESQ. 345 PARK AVENUE, 5TH FLOOR NEW YORK, NY 10154	5/28/2009	4841	\$7,715.23

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\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
7	CF SECURITIZED INDEX FUND C/O BNY MELLON CASH INVESTMENT STRATEGIES BNY MELLON CENTER 500 GRANT STREET PITTSBURGH, PA 15258	1/29/2009	2343	\$5,259.48	THE BANK OF NEW YORK MELLON C/O STANDISH MELLON ASSET MGMT ACCT [REDACTED] MELLON FINANCIAL CENTER 1 BOSTON PLACE STE 024- 0344 BOSTON, MA 02108-4408	12/2/2008	9008101	UNSPECIFIED*
8	DEUTSCHE ASSET MANAGEMENT SCOTT AGI 345 PARK AVENUE NEW YORK, NY 10154	10/7/2008	9008080	UNSPECIFIED*	PYRAMID MORTGAGE- BACKED SECURITIES FUND IGOR ABRAMOV 345 PARK AVENUE NEW YORK, NY 10154	1/26/2009	9001808	UNSPECIFIED*
9	HEWLETT PACKARD INDIA SALES PVT. LTD. ATTN: MR RAMNATH SUNDARAM / CREDIT & COLLECTIONS 24 SALARPURIA ARENA, ADUGODI HOSUR MAIN ROAD BANGALORE 560030 INDIA	3/27/2009	4235	\$35,765.13	HEWLETT PACKARD AP (HONG KONG) LTD -SEE V# [REDACTED] 19/F CITYPLAZA ONE 1111 KINGS ROAD ATTN: MR CHAN KAI YIU TAIKOO SHING HONG KONG CHINA	3/27/2009	4236	\$14,771.00
10	REAMS ISP REAMS ASSET MGMT CO A/C INDIANA STATE POLICE 227 WASHINGTON STREET COLUMBUS, IN 47201-6741	2/3/2009	3416	\$48,860.15	INDIANA STATE POLICE PENSION TRUST RICHARD MOURDOCK, TREASURER 200 WEST WASHINGTON STREET SUITE 242 INDIANAPOLIS, IN 46204- 2792	1/28/2009	8001926	UNSPECIFIED*

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\*\* Allowed Claim



	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
11	FRANK RUSSELL INVESTMENT COMPANY PLC-THE STERLING CORP BOND FUND C/O WESTERN ASSET MANAGEMENT COMPANY ATTN: LEGAL DEPT W-5307 385 E. COLORADO BLVD. PASADENA, CA 91101	2/2/2009	3245	\$94,594.00	RIC PLC THE STERLING BOND FUND ELLIOT COHEN 909 A ST TACOMA, WA 98402	1/30/2009	9004649	UNSPECIFIED*
12	FRANK RUSSELL INVESTMENT COMPANY PLC - THE STERLING CORPORATE BOND FUND C/O WESTERN ASSET MANAGEMENT COMPANY ATTN: LEGAL DEPT. W-5185 385 E. COLORADO BLVD. PASADENA, CA 91101	1/30/2009	2705	\$13,875.00	RIC PLC THE STERLING CORPORATE BOND FUND ELLIOT COHEN 909 A ST TACOMA, WA 98402	1/30/2009	9004648	UNSPECIFIED*
13	SEIU LOCAL 74 PENSION FUND C/O CLAIRE MARTIN-MCKAY AMALGAMATED BANK 275 SEVENTH AVE NEW YORK, NY 10001	1/29/2009	9003912	UNSPECIFIED*	BOARD OF TRUSTEES OF THE PENSION FUND OF LOCAL 74 C/O DEBRA SVOBODA PRINCIPAL GLOBAL INVESTORS 801 GRAND AVENUE DES MOINES, IA 50392-0490	9/26/2008	9008033	UNSPECIFIED*
14	SOLUTIA INC SAVINGS AND INVMT PLAN WESTERN ASSET MGMT COM PATRICK HERRINGTON 50 S LASALLE ST CHICAGO, IL 60603	2/2/2009	9006054	UNSPECIFIED*	SOLUTIA INC. SAVINGS AND INVESTMENT PLAN C/O WESTERN ASSET MANAGEMENT COMPANY ATTN: LEGAL DEPT W-1743 385 E. COLORADO BLVD. PASADENA, CA 91101	1/30/2009	2731	\$234,734.00

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
15	STRONG MUSEUM TRUST LESLEY E GOLDBERG 345 PARK AVE NEW YORK, NY 10154	1/28/2009	9002267	UNSPECIFIED*	JPMORGAN CHASE BANK N.A., AS AGENT F/B/O STRONG MUSEUM TRUST 345 PARK AVENUE, 5TH FLOOR NEW YORK, NY 10154	5/28/2009	4840	\$3,354.68
16	THE MASTER TRUST BANK OF JAPAN, LTD ON BEHALF OF LM GLOBAL BOND MOTHER TOSHIKI FUJII 2-11-3 HAMAMATSU-CHO, MINATO-KU TOKYO 1058579 JAPAN	1/23/2009	7000592	\$1,521,211.00	LM GLOBAL BOND MOTHER FUND (SDO), C/O WESTERN ASSET MANAGEMENT C/O WESTERN ASSET MANAGEMENT COMPANY, ATTN: LEGAL DEPT W-5383 385 E. COLORADO BLVD. PASADENA, CA 91101	1/30/2009	2475	\$1,521,211.00
17	THE MASTER TRUST BANK OF JAPAN, LTD,AS TRUTEE T.FUJII, Y.NAKAO,CORPORATE RISK MANAGEMENT 2-11-3 HAMAMATSU-CHO, MINATO-KU TOKYO 1058579 JAPAN	1/22/2009	8001750	UNSPECIFIED*	WELLINGTON MANAGEMENT COMPANY LLP ROBERT J TONER MORGAN STANLEY ASSET & INVESTMENT TRUST MANAGEMENT CO LIMITED 75 STATE STREET BOSTON, MA 02109	9/29/2008	9008065	UNSPECIFIED*

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\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
18	TIME MIRROR CHANDLER II LLC LESLEY E GOLDBERG 345 PARK AVE NEW YORK, NY 10154	1/28/2009	9002268	UNSPECIFIED*	JPMORGAN CHASE BANK, N.A. AS AGENT F/B/O TIME MIRROR CHANDLER II, LLC JPMORGAN CHASE BANK, N.A.-PRIVATE BANK LEGAL-LESLEY E. GOLDBERG, ESQ. 345 PARK AVENUE, 5TH FLOOR NEW YORK, NY 10154	5/28/2009	4846	\$55,805.47
19	UNITED METHODIST CHURCH C/O BNY MELLON CASH INVESTMENT STRATEGIES BNY MELLON CENTER 500 GRANT STREET PITTSBURGH, PA 15258	1/29/2009	2341	\$2,383.35	THE BANK OF NEW YORK MELLON C/O STANDISH MELLON ASSET MGMT ACCT [REDACTED] MELLON FINANCIAL CENTER 1 BOSTON PLACE STE 024- 0344 BOSTON, MA 02108-4408	12/2/2008	9008101	UNSPECIFIED*
20	USWU LOCAL 74 DEFINED CONTRIBUTION PLAN DEBORAH SILODER C/O AMALGAMATED BANK 275 SEVENTH AVE NEW YORK, NY 10001	1/29/2009	9003901	UNSPECIFIED*	BOARD OF TRUSTEES OF THE WELFARE FUND OF LOCAL 74 C/O PRINCIPAL GLOBAL INVESTORS, LLC ATTN: DEBRA S. VOBODA 801 GRAND AVENUE DES MOINES, IA 50392-0490	9/29/2008	9008060	UNSPECIFIED*

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
21	USWU LOCAL 74 WELFARE FUND DEBORAH SILODOR C/O AMALGAMATED BANK 275 SEVENTH AVE NEW YORK, NY 10001	1/29/2009	9003911	UNSPECIFIED*	BOARD OF TRUSTEES OF THE DEFINED CONTRIBUTION FUND OF LOCAL C/O DEBRA SVOBODA PRINCIPAL GLOBAL INVESTORS LLC 801 GRAND AVENUE DES MOINES, IA 50392-0490	9/26/2008	9008032	UNSPECIFIED*
22	WASHINGTON GAS LIGHT COMPANY MASTER VEBA TRUST C/O BNY MELLON CASH INVESTMENT STRATEGIES BNY MELLON CENTER 500 GRANT STREET PITTSBURGH, PA 15258	1/29/2009	2342	\$1,394.47	THE BANK OF NEW YORK MELLON C/O STANDISH MELLON ASSET MGMT ACCT [REDACTED] MELLON FINANCIAL CENTER 1 BOSTON PLACE STE 024- 0344 BOSTON, MA 02108-4408	12/2/2008	9008101	UNSPECIFIED*
23	WESTERN ASSET MANAGEMENT COMPANY W STEPHEN VENABLE JR 385 EAST COLORADO BOULEVARD PASADENA, CA 91101-1923	10/20/2008	9008093	UNSPECIFIED*	STATE RETIREMENT & PENSION SYSTEM OF MARYLAND C/O WESTERN MANAGEMENT COMPANY ATTN: LEGAL DEPT. W-1376 385 E. COLORADO BLVD. PASADENA, CA 91101	1/30/2009	2690	\$5,911,142.00

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

**EXHIBIT 4**

**IN RE LEHMAN BROTHERS INC., CASE No: 08-01420 (SCC) SIPA**  
**TWO HUNDRED TWENTY-FIRST OMNIBUS OBJECTION: EXHIBIT 4 - WITHDRAWN CLAIMS**

CLAIMS TO BE DISALLOWED AND EXPUNGED					SURVIVING CLAIMS			
	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
<b>1</b>	TRADEWEB NEWMARKETS LLC ATTN: JONATHAN D. PITTINSKY, ASST. SEC 2200 PLAZA FIVE HARBORSIDE FINANCIAL CENTER JERSEY CITY, NJ 07311	5/29/2009	4926	\$16,403.69	TRADEWEB LLC ATTN: JONATHAN D. PITTINSKY, ASST. SEC 2200 PLAZA FIVE HARBORSIDE FINANCIAL CENTER JERSEY CITY, NJ 07311	5/29/2009	4928	\$1,359,221.19
<b>2</b>	TRADEWEB MARKETS LLC ATTN: JONATHAN D. PITTINSKY, ASST. SEC 2200 PLAZA FIVE HARBORSIDE FINANCIAL CENTER JERSEY CITY, NJ 07311	5/29/2009	4927	\$1,342,817.50	TRADEWEB LLC ATTN: JONATHAN D. PITTINSKY, ASST. SEC 2200 PLAZA FIVE HARBORSIDE FINANCIAL CENTER JERSEY CITY, NJ 07311	5/29/2009	4928	\$1,359,221.19

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim

**EXHIBIT 5**

**IN RE LEHMAN BROTHERS INC., CASE No: 08-01420 (SCC) SIPA**  
**TWO HUNDRED TWENTY-FIRST OMNIBUS OBJECTION: EXHIBIT 5 – ADJOURNED CLAIM**

CLAIMS TO BE DISALLOWED AND EXPUNGED					SURVIVING CLAIMS			
	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS	NAME	DATE FILED	CLAIM #	TOTAL CLAIM DOLLARS
<b>1</b>	INTERNATIONAL INVESTMENT FUND - PUTNAM GLOBAL AGGREGATE FIXED INCOME FUND, THE DEREK JEPSON DUMARESQ HOUSE, DUMARESQ STREET ST HELIER, JERSEY JE2 3RL CHANNEL ISLANDS	1/29/2009	9003323	UNSPECIFIED*	SBC MASTER PENSION TRUST CARL J. STRUTZ 208 S. AKARD ROOM 2712 DALLAS, TX 75201	1/30/2009	8002800	UNSPECIFIED*

\* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00\*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

\*\* Allowed Claim